Importance of Federal R&D Investment

- Significant percentage of post-war economic growth is tied to technological innovation.
- While the U.S. spends more on R&D than any other nation, we now ranks 8th of OECD countries in R&D as share of GDP.
- It is estimated the effect of sequestration on R&D will cost the US economy’s GDP about $200 billion by the year 2021 in lost intellectual property, technology development, and productivity.

“While R&D accounts for a small share of federal spending, it is disproportionately important in supporting long term economic growth.” – MIT President Rafael Reif and former Intel CEO Craig Barrett in February Financial Times.
Why invest in Space Technology?

- Enables a **new class of NASA missions** beyond low Earth Orbit.
- **Delivers innovative solutions** that dramatically improve technological capabilities for NASA and the Nation.
- Develops technologies and capabilities that make NASA’s missions more affordable and more reliable.
- Invests in the economy by **creating markets and spurring innovation** for traditional and emerging aerospace business.
- Engages the brightest minds from academia in solving NASA’s tough technological challenges.

**Value to NASA**  **Value to the Nation**

Addresses National Needs
A generation of studies and reports (40+ since 1980) document the need for regular investment in new, transformative space technologies.

Who:
The NASA Workforce
Academia
Industry & Small Businesses
Other Government Agencies
The Broader Aerospace Enterprise
Challenges for Deep Space Exploration

- Communication
- Environment Control & Life Supporting Systems
- Navigation
- Power Generation & Storage
- Radiation Mitigation
- Manufacturing In Space & For Space
- Propulsion
- Logistics
- Entry, Descent & Landing
# Space Technology Highlights

<table>
<thead>
<tr>
<th>FY 2012-13 Accomplishments</th>
<th>Benefits</th>
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<tr>
<td>Successfully demonstrated feasibility of inflatable heat shields through launch of Inflatable Reentry Vehicle Experiment-3 (IRVE-3) from Wallops Flight Facility in Virginia.</td>
<td>Such heat shields offer the opportunity to significantly increase the landed mass and landing accuracy capabilities for future missions to other planets, such as Mars. It can also enable down mass from ISS.</td>
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<tr>
<td>Successfully fabricated a 2.4-meter cryogenic propellant tank in FY 2012, with testing to complete in FY 2013 (this summer). Follow on fabrication and testing a 5.5-meter diameter full scale tank in FY 2014. Out of autoclave processes were used.</td>
<td>Lower mass rocket propellant tanks will meet future Space Launch System needs, and improve upon costly aerospace manufacturing processes used for either metallic or composite manufacturing by reducing time required to manufacture very large aerospace structures – no large autoclaves or tooling.</td>
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<td>Mars Science Laboratory Entry, Descent and Landing Instrument (MEDLI) suite was on board Curiosity, streaming real-time atmospheric and heating data from sensors imbedded within the vehicle's heat shield.</td>
<td>Data from MEDLI will help engineers design safer, more efficient entry systems for future missions. First time NASA collected data during Mars entry on heat shield performance and environmental data.</td>
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<td>Technologies from 6 Small Business Innovation Research companies placed a role in enhancing Curiosity's primary mission. Other small businesses winning in open competitions – e.g. Deployable Space Systems, L'Garde, and Tyvak</td>
<td>NASA is not only investing in small businesses, but they contribute to our core Missions.</td>
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## STMD FY 2014 President’s Budget

### Budget Authority ($M)

<table>
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<tr>
<th>FY 2014 President’s Budget Request</th>
<th>FY 2014</th>
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<td><strong>SBIR and STTR</strong></td>
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<td>Crosscutting Space Tech Development</td>
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<td>Early Stage Innovation</td>
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<td>Small Spacecraft</td>
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<td>Game Changing Development</td>
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<td>Technology Demonstration Missions</td>
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<td><strong>Exploration Technology Development</strong></td>
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<td>Game Changing Development</td>
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</table>
Space Technology’s FY 2014 Strategy

- Align the Space Technology Program with the Administration’s Research and Development Priorities
  - Prioritize areas based on Strategic Space Technology Investment Plan (SSTIP) and the NRC report on the NASA Space Technology Roadmaps
- Aligns and supports proposed asteroid retrieval and redirect mission
  - Accelerate high-powered Solar Electric Propulsion Demonstration Mission
- Ensure Progress on Transformative and Crosscutting Technology Projects
  - Continue a steady cadence of new solicitations
  - Key Projects: CPST, LCRD, Green Propellant, Small Spacecraft Technologies
  - In FY 2014, will conduct 3 major CDRs, 6 Ground or Flight Demos, and 1 Small Spacecraft Demo
- Maintain a Sustainable Pipeline of Revolutionary Concepts and Develop the Workforce for the Future US Aerospace Enterprise
  - Fellowships, University Grants, Center Innovation and Concept Studies
  - Programs: STRG, CIF and NIAC with yearly solicitations (CSTD)
- Create New Space Markets and Explore Alternate Technology Approaches
  - Small businesses, prize authority, sub-orbital flights
  - Programs: SBIR/STTR, Centennial Challenges, Flight Opportunities (CSTD)
- Enhance Tech Transfer and Commercial Partnerships Opportunities (PD/SI)
The funding increase will support key Space Technology projects started in previous years, which in FY 2014 and early FY 2015 will build hardware or perform ground or spaceflight demonstrations.

- STMD is managing multiple projects through key implementation phase milestones in FY14 – peak year for project funding.
- STMD postponed FY13 milestones for some projects due to sequestration impacts - funding rephrased into FY14 to complete milestones

The budget accelerates the development of a high-powered Solar Electric Propulsion (SEP) system that is enabling for the robotic segment, propulsion and detection of the asteroid retrieval mission

Support of the Congressionally mandated increases in the Small Business Innovation Research and Small Business Technology Transfer Programs.
FY2013 to FY2014 Increases

- SBIR/STTR authorization % of R&D increase
- Solar Electric Propulsion Acceleration, Cryogenic Propellant Storage and Transfer, Laser Communications Relay Demo
- STRG, Centennial Challenges
- Tech Transfer
Evaluating current STMD investments as recommended by the Strategic Space Technology Investment Plan (SSTIP)

- Initial evaluation is consistent with the Core, Adjacent, and Complementary recommendations
- Approximately > 65% of investments are in Core areas
- STMD has investments in all 14 Technology Areas
- Approximately 10% of investments are low TRL (1-3) consistent with the recommendation by the National Research Council (NRC) Final Report on Space Technology Roadmaps and Priorities

STMD investments are consistent with the Strategic Space Technology Investment Plan (SSTIP)
With successful formulation and implementation of Space Technology program, NASA officially separates Office of the Chief Technologist (OCT) into two organizations: OCT and Space Technology Mission Directorate (STMD).

**Space Technology Mission Directorate**
- Has direct management and budget authority of the Space Technology programs, which are performed by all 10 NASA Centers;
- Focuses on project execution and technology infusion into the Agency’s exploration and science mission needs;
- Takes a customer driven approach, proving capabilities needed for future NASA missions and the national aerospace community; and
- Develops the Nation’s innovation economy.

**Office of the Chief Technologist**
- Continues to serve as the Administrator’s principal advisor and advocate on matters concerning Agency-wide technology policy and programs;
- Continues to lead NASA’s technology transfer and commercialization efforts;
- Integrates, tracks, and coordinates all of NASA’s technology investments; and
- Documents and communicates the societal impacts of the Agency's technology efforts.

**Realignment will not affect the mission, content or budget authority of the Space Technology Programs.**
Space Technology Mission Directorate Organization

Office of the Associate Administrator

Resources Management

Communications and Operations

Strategic Integration and Analysis

Centennial Challenges

Game Changing Development

SBIR/STTR

Space Technology Research Grants

Flight Opportunities

NIAC/CIF

Small Spacecraft Technology

Technology Demonstration Missions
<table>
<thead>
<tr>
<th>Role</th>
<th>Name</th>
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<tbody>
<tr>
<td>Associate Administrator</td>
<td>Michael Gazarik</td>
</tr>
<tr>
<td>Deputy Associate Administrator for Management</td>
<td>Dorothy Rasco</td>
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<tr>
<td>Deputy Associate Administrator for Programs</td>
<td>James Reuther</td>
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<tr>
<td>Director for Communications and Operations / Chief of Staff</td>
<td>G. Michael Green</td>
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<tr>
<td>Director for Resource Management</td>
<td>Robert Carver</td>
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<tr>
<td>Director for Strategic Integration and Analysis</td>
<td>Prasun Desai</td>
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<tr>
<td>Senior Technical Officer</td>
<td>Harry Partridge</td>
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<tr>
<td>Executive Officer</td>
<td>Natalie Simms</td>
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<td>Program</td>
<td>Program Executive</td>
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<tr>
<td>Center Innovation Fund &amp; NIAC</td>
<td>Jay Falker</td>
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<td>Centennial Challenges</td>
<td>Larry Cooper</td>
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<td>Flight Opportunities</td>
<td>LK Kubendran</td>
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<td>Game Changing Development Program</td>
<td>Tibor Balint</td>
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<td>SBIR/STTR</td>
<td>Rich Leshner</td>
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<td>Small Spacecraft Technology Program</td>
<td>Andy Petro</td>
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<td>Space Technology Research Grants</td>
<td>Claudia Meyer</td>
</tr>
<tr>
<td>Technology Demonstration Missions</td>
<td>Randy Lillard</td>
</tr>
</tbody>
</table>
Space Technology Portfolio

- Game Changing Development (ETD/CSTD)
- Technology Demonstration Missions (ETD/CSTD)
- Small Spacecraft Technologies (CSTD)
- Space Technology Research Grant (CSTD)
- NASA Innovative Advanced Concepts (NIAC) (CSTD)
- Center Innovation Fund (CSTD)
- Centennial Challenges Prize (CSTD)
- Small Business Innovation Research & Small Business Technology Transfer (SBIR/STTR)
- Flight Opportunities Program (CSTD)
Space Technology Program Attributes

- **Adheres to a Stakeholder Based Investment Strategy**: NASA Strategic Plan, NASA Space Technology Roadmaps / NRC Report and Strategic Space Technology Investment Plan
- **Invests in a Comprehensive Portfolio**: Covers low to high TRL, student fellowships, grants, prize competitions, prototype developments, and technology demonstrations
- **Advances Transformative and Crosscutting Technologies**: Enabling or broadly applicable technologies with direct infusion into future missions
- **Merit Based Competition**: Research, innovation and technology maturation open to academia, industry, NASA centers and other government agencies
- **Executes with Structured Projects**: Clear start and end dates, defined budgets and schedules, established milestones, and project authority and accountability.
- **Informed Risk Taking**: Rapid cadence of technology maturation and infusion, informed risk tolerance to infuse as quickly as possible
- **Positions NASA at the cutting edge of technology**: Results in new inventions, enables new capabilities and creates a pipeline of innovators for National needs
Space Technology Technical Areas

- LAUNCH PROPULSION SYSTEMS
- IN-SPACE PROPULSION TECHNOLOGIES
- SPACE POWER & ENERGY STORAGE
- ROBOTICS, TELE-ROBOTICS & AUTONOMOUS SYSTEMS
- COMMUNICATION & NAVIGATION
- HUMAN HEALTH, LIFE SUPPORT & HABITATION SYSTEMS
- HUMAN EXPLORATION DESTINATION SYSTEMS
- SCIENCE INSTRUMENTS, OBSERVATORIES & SENSOR SYSTEMS
- ENTRY, DESCENT & LANDING SYSTEMS
- NANOTECHNOLOGY
- MODELING, SIMULATION, INFORMATION TECHNOLOGY & PROCESSING
- MATERIALS, STRUCTURES, MECHANICAL SYSTEMS & MANUFACTURING
- GROUND & LAUNCH SYSTEMS PROCESSING
- THERMAL MANAGEMENT SYSTEMS
Small Business Innovation Research and Small Business Technology Transfer (SBIR/STTR)

Provides small business sector with opportunity to compete for funding to develop technology for NASA and commercialize that technology to spur economic growth.

- Annual Solicitations for Phase I awards
- Phase II proposed 9 months later
  - Phase II Enhancement (II-E): incentive for cost share to extend research and development efforts of current Phase II contract.
- Phase III-commercialization of Phase II projects.
  - Contract funded from sources other than the SBIR/STTR programs and may be awarded without further competition.

FY 2012 Awards: SBIR/STTR awarded 298 Phase I contracts, and 102 Phase II contracts in FY 2012.
FY 2013 Awards: SBIR/STTR selected 295 Phase I proposals from 216 US small businesses for negotiations; Additionally 38 SBIR Phase II proposals were awarded; Phase II selections still pending.
FY 2014 Plans: NASA increases the SBIR investment by 0.1 percent to 2.8 percent, and increase the STTR investment by 0.05 percent, to 0.40 percent of Agency R&D.
NASA funded small businesses contributed to Mars Science Lab:

- GrammaTech, Inc. (Ithaca, NY) developed software for eliminating defects in mission-critical and embedded software applications directing rover operations. (image top right)
- Starsys Research, Inc. (Louisville, CO) developed planetary gearboxes for the articulated robotic arm and descent braking mechanism for controlling rate of descent to planetary surface.
- Creare, Inc. (Hanover, NY) developed space-qualified vacuum pump for Sample Analysis at Mars (SAM) instrument package.
- Yardney Technical Products, Inc. (Pawcatuck, CT) developed lithium ion batteries that enable power system to meet peak power demands or rover activities.
- Honeybee Robotics, Inc (New York, NY) created a dust removal tool used to remove the dust layer from rock surfaces and clean rover’s observation tray, and designed the sample manipulation system for the Sample Analysis at Mars instrument package (image right).
- inXitu, Inc. (Mountain View, CA) had features of their automated sample handling system implemented in the Chemistry and Mineralogy experiment instrument.

Three SBIR/STTR Supporting the Space Launch System (SLS) modeling and simulation requirements:

- Streamline Numerics, Inc. (Gainesville, FL) Fluid-flow design tool that can be used in design process by engineers to model full 3-D geometries with unsteady flow analysis. (image top left)
- AI Signal Research-(Huntsville, AL) high frequency data diagnostics tools to modify and validate PC-Signal software and expand analysis and environment prediction capabilities for current and future propulsion components.
- Tetra Research Corporation (West Princeton, IL) development of advanced flow analysis tools for solid rocket motor simulation to accurately simulate motor pressure and thrust as a function of time. (image bottom left)
Crosscutting Space Technology Development

Enables revolutionary advances in broadly applicable technology for NASA’s future science and exploration missions, and addresses critical national needs.

- Advanced manufacturing capabilities
- Optical communications demonstration
- Supersonic decelerators – parachutes and inflatables
- Deep-space navigation development
- Small Spacecraft flight demos
- Flights on suborbital research platforms
- Early Stage research fellowships and development grants to academia, NASA researchers and private innovators
  - Including technology concept studies in asteroid detection, in situ resource utilization, autonomous robotics, and radiation mitigation
  - Utilizing prize competitions to spur innovation
  - Supporting Innovation at NASA Centers

Ensure NASA is receiving fresh ideas and contributing to the Nation’s research engine
Crosscutting Space Technology Development

Low Density Supersonic Decelerators: world's largest planetary entry parachute, world's first supersonic inflatable decelerators

- Conducts final sled tests for both the ring-sail parachute and supersonic inflatable decelerator test articles
- Conduct the first high-speed, high-altitude flight demonstration to simulate Mars atmospheric entry/descent conditions

Sunjammer Solar Sail

- Entering final design and fabrication phase progressing toward system integration and flight readiness review; launch in late 2014

Soldier-Warfighter Operationally Responsive Deployer for Space (SWORDS) address the expanding demand for small payload (< 100kg), low cost, quickly available launch systems.

- Launch vehicle cost of approximately $1 million
- NASA Providing ground processing & avionics support
- Partnering with DARPA
Advanced Manufacturing Technologies  Composite manufacturing processes, material mixtures, in situ resource construction techniques.

Deep Space Atomic Clock
  - Complete Critical Design Review
  - Fabricate the GPS equipment, clock ultra-stable oscillator
  - Conduct final payload integration and testing prior to the flight

Edison Demonstration of Smallsat Networks
  - Spacecraft cluster of eight cubesats; launch in late 2013
  - Partnering with the Operationally Responsive Space Office for launch on the Super Strypi launch vehicle.

Flight Opportunities  Commercial Access to Suborbital Space expects to use all providers for the first time in FY 2014, with approximately 30 flight campaigns based on payload demand
Exploration Technology Development

Develops technologies that **address breakthroughs** needed to achieve the Nation’s human exploration goals.

- Works closely with NASA’s Human Exploration and Operations Mission Directorate to reduce technological barriers, reduce mission risk and increase system efficiency.
- Supports acceleration of a high-powered Solar Electric Propulsion capability to power the robotic segment and propulsion of the proposed asteroid retrieval mission.
- Composite cryogenic propellant tank (5.5 meter)
- Cryogenic propellant storage and transfer demonstration
- Green propellant demonstration
- Life support system & space resource utilization components
- Batteries, fuel cells & in-space propulsion
- Entry, Descent and Landing systems
- Human-Robotic Systems and Human Exploration Telerobotics to assist humans work more efficiently and perform difficult and hazardous tasks
## Infusion

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<tr>
<th>ETD: GCD</th>
<th>SLS/ MPCV</th>
<th>SEV</th>
<th>EVA</th>
<th>DSH</th>
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<th>Robotic Precursors</th>
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<td>Advanced In-Space Power</td>
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FY2014 Big Nine

TDM
Laser Communications

TDM
Cryogenic Propellant Storage & Transfer

TDM
Deep Space Atomic Clock

TDM
Large-Scale Solar Sail

TDM
Low Density Supersonic Decelerators

TDM
Green Propellants

Human Exploration Telerobotics & Human-Robotic Systems

TDM & GCD
Solar Electric Propulsion

GCD
Composite Cryotank

Increases space-based broadband, delivering data rates 10-to-100 times faster than today's systems, addressing the demands of future missions.

Better fuel handling technology will improve spacecraft fuel economy. Required for Cryogenic Propulsion Stage (Space Launch System - SLS - upper-stage).

This tiny atomic clock is 10-times more accurate than today's ground-based navigation systems, enabling precise, in-space navigation.

This solar sail has an area 7 times larger than ever flown in space, enabling propellant free propulsion and next generation space weather systems.

Develops and demonstrates green propellants, thus provides an alternative to highly corrosive and toxic hydrazine; consequently expanding the capabilities of small spacecraft systems.

Developing advanced systems capable of remotely operating robots to assist in future exploration; maturing new robots capable of assisting humans in routine and tedious work.

Develops large-scale solar array panels and deployment mechanisms. Critical step on the development path to a high-power solar electric propulsion system.

Demonstrating large composite, lightweight fuel tanks that can reduce the mass and cost of the next generation SLS.
STMD: Asteroid Retrieval Mission

- NASA is planning a first-ever mission to capture and redirect an asteroid to earth-moon space. The effort aligns and leverages relevant portions of NASA's Science, Space Technology, and Human Exploration capabilities to achieve the President's challenge of sending astronauts to an asteroid by 2025.

- The overall mission is composed of three independently compelling elements:
  - Detection and characterization of candidate near earth asteroids
  - Robotic rendezvous, capture and redirection of an asteroid to earth-moon space
  - Crewed mission to explore and sample the captured asteroid using the Space Launch System (SLS) and the Orion crew capsule

- Space Technology will focus on high-powered Solar Electric Propulsion (SEP)
  - SEP is the primary propulsion for the robotic asteroid rendezvous and redirection
  - The retrieval mission is not possible without SEP
  - SEP is also enabling for deep space human exploration
  - SEP component technologies serve commercial needs
  - In FY14 STMD will accelerate SEP development
Space Tech Role in Agency Asteroid Strategy

Early Stage programs will foster innovation regarding:
- Asteroid detection, characterization and mitigation for planetary defense and asteroid retrieval mission target selection
- Asteroid proximity operations and resource utilization techniques

Game Changing will complete high power SEP tech development:
- Advanced solar array systems
- Advanced magnetic shielded Hall thrusters
- Power processing units (PPUs)

Technology Demonstration Missions will develop, test and demonstrate the SEP system as part of the retrieval mission:
- 30kW – 50 kW advanced solar arrays
- Magnetically shielded Hall thrusters & Power Processing
- Xenon propellant tanks

Additional Asteroid Retrieval funding in FY2014 will cover:
- Flight hardware solar array procurements
- Hall thruster engineering development units
- Design of Xenon propellant tanks
Alignment Strategy

2013: SST
- Asteroid Detection, Characterization & Selection Segment
  - Enhanced ground assets & Initial candidates

2014: PS-2

2015: GEO-hosted payload detection
- Final target selection

2016: Mission Launch & SEP Demo

2017: Asteroid Rendezvous & Capture

2018: Asteroid Maneuver to cis-lunar

2019: EM-2: Crew on Orion to the asteroid

2020-2022: Orion & SLS Crewed Asteroid Exploration Segment
- First flight of Orion
- EM-1: Un-crewed Orion test beyond the Moon

THIS SEGMENT TIMELINE NOTIONAL - SUBJECT TO CHANGE AS MISSION CONCEPT EVOLVES

Sensitive But Unclassified * PRE-DECISIONAL * Do Not Distribute
Partnership Development and Strategic Integration

Extending the benefits of NASA’s technology investments to have a direct and measurable impact on daily life.

- Managed by NASA’s Chief Technologist
- Provides the strategy, leadership, and coordination that guides NASA’s technology and innovation activities.
- Documents and analyzes NASA’s technology investments and tracks progress, aligning them with the Agency’s plan.
- Technology transfer and technology commercialization activities.

Encourage partnerships, technology use, and commercialization; ensuring NASA technologies energize the commercial space sector, and provide the greatest benefit to the Nation.

Companies featured in recent issues of NASA’s Spinoff report have used NASA technology to:

- Create more than 14,000 jobs
- Save more than 444,000 lives
- Generate more than $5 billion in revenue
- Save $6.2 billion in costs
Currently, significant engagements include:

- **Green Propellant Infusion Mission** partnership with **Air Force Research Laboratory** propellant and rideshare with DoD’s **Space Test Program (STP)**
- **Solar Sail Demonstration** partnership with **NOAA** and rideshare with **Air Force**
- **Soldier-Warfighter Operationally Responsive Deployer for Space (SWORDs)** low-cost nano-launch system with **Army**
- **UAS Airspace Operations Prize Challenge** coordinated with **FAA**
- **Working with the USAF Operationally Responsive Space Office (ORS)** for launch accommodations for the Edison Demonstration of Smallsat Networks (EDSN) mission.
- Partnership for Ohio’s first hydrogen generating fueling station with **Greater Cleveland Regional Transit Authority** to power city bus
- Partnership with **DARPA** on “Next Generation Humanoid for Disaster Response”
- In discussion with **Department of Veteran Affairs** for a collaborative project with “Exoskeleton” from our Human Robotics Systems Program
With over 350 activities in over 100 U.S. Academic Institutions, Space Technology is actively developing the Nation's technological leadership.
NASA's investments in Space Technology provide the transformative capabilities to enable new missions, stimulate the economy, contribute to the nation's global competitiveness and inspire the nation's next generation of scientists, engineers and explorers.

The next great leaps in space exploration require significant and sustained investments in Space Technology.

Space Technology is delivering what we promised: hundreds of new technologies and capabilities – on time and within budget. FY14 demos for Small Spacecraft, Green Propellant, Composite Cryotank and LDSD.

Space Technology will continue to engage U.S. universities and academic institutions to develop and demonstrate technologies with approximately 350 activities in FY12 including: fellowships, direct competitive awards of grants and contracts, and partnerships with NASA centers and commercial contractors.

This budget request supports an accelerated development of a Solar Electric Propulsion (SEP) demonstration effort within Technology Demonstration Missions. SEP is critical and enabling for NASA’s robotic mission to an asteroid. SEP technologies are also needed for future commercial satellites and essential for deep space human exploration missions.